

Docket No.: 26119.100 US 1

*7400*  
*01-19-01*  
PATENT/OFFICIAL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*#3*

In re Application of

BETTIS et al.

Serial No. 09/597,742

Filed: June 19, 2000

For: SYSTEM, METHOD AND COMPUTER READABLE  
MEDIUM CONTAINING INSTRUCTIONS FOR  
EVALUATING AND DISSEMINATING INVESTOR  
PERFORMANCE INFORMATION



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: Group Art Unit: Unassigned  
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: Examiner: Unassigned  
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for  
Patents and Trademarks  
Washington, D. C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449.

It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

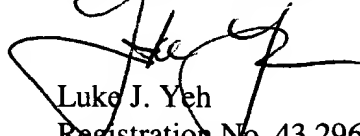
This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits. No certification or fee is required. Copies of the references are provided.

AUTHORIZATION

The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to deposit account no. 08-0219.

Respectfully submitted,

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